

APPLICATION  
FOR  
UNITED STATES LETTERS PATENT

TITLE: OPTICAL CHARACTERIZATION OF SURFACES AND  
PLATES

APPLICANT: ARES J. ROSAKIS, DAVID M. OWEN AND STEPHEN  
GLEDDEN

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EV 399311358 US

Jan. 27, 2004  
Date of Deposit

## OPTICAL CHARACTERIZATION OF SURFACES AND PLATES

[0001] This application claims the benefits of U.S. Provisional Application No. 60/443,240 filed on January 27, 2003, and U.S. Provisional Application No. 60/443,805 filed on January 29, 5 2003. The entire disclosures of the above-referenced provisional patent applications are incorporated herein by reference as part of this application.

### Background

10 [0002] This application relates to measurements of properties of surfaces and plates, and in particular, to the optical measurements and characterization of properties of surfaces and plates.

[0003] Surface and plate properties of panels and substrates,

15 such as the surface flatness, surface curvatures, surface slopes, plate thickness and variations, and the spatial variations of the refractive indices of plates, and other surface and plates parameters are routinely measured and monitored in various applications. Substrates may be used as  
20 platforms to support various structures, such as microstructures integrated to the substrates. Integrated electronic circuits, integrated optical devices and opto-electronic circuits, micro-electro-mechanical systems, and flat panel display systems (e.g., LCD and plasma displays) are examples of such structures

integrated on substrates. Measurements of surface properties of panels and substrates may be used to, e.g., ensure the surface properties to be within desired ranges or monitor and analyze surface stresses of the panels and substrates. Measurements of transverse uniformity profiles of plates, such as the wedge variations and variations in the refractive index, may be used in evaluating and manufacturing of reticles, masks and pellicles used in, e.g., photolithography.

#### Summary

[0004] This application describes exemplary implementations of optical measurements and characterization of surfaces by using full-field optical shearing interferometer systems, such as coherent gradient sensing (CGS) systems. Optical transmission through a wafer or plate may be processed by an optical shearing interferometer to obtain spatial slopes on wavefront distortions. Both optical reflection and optical transmission of the wafer or plate may be obtained and processed by the optical shearing interferometry to obtain information on at least one reflective surface, the plate thickness, and other parameters of the wafer or plate under measurement. As an example of the optical shearing interferometer systems, full-field CGS interferometry by reflection and transmission may be used as a tool for the study of optical wavefront distortion

gradients associated with either or both of optical reflection and transmission of light obtained from a wafer or plate.

[0005] In one implementation, a system includes a sample holder to hold a sample, an optical input collimator to collimate an  
5 input probe beam, and to direct the input probe beam to the sample, a first optical shearing interferometer located to receive optical transmission of the input probe beam through the sample, a second optical shearing interferometer located to receive optical reflection of the input probe beam from the  
10 sample, and a processor to receive output signals from the first and the second optical shearing interferometers and operable to process the output signals to produce measurements of the sample.

[0006] In another implementation, an optical reflection off a  
15 sample plate is directed into a first optical shearing interferometer to obtain a first map of wavefront slopes of the optical reflection indicative of the reflective surface of the sample plate. In addition, an optical transmission through the sample plate is directed into a second optical shearing  
20 interferometer to obtain a second map of wavefront slopes of the optical transmission wavefront indicative of the variations in the optical path across the sample plate. The first and second maps are then processed to obtain information on the sample plate.

[0007] In yet another implementation, an optical probe beam with a uniform wavefront is directed to transmit through a sample plate. An optical shearing interferometer is used to receive optical transmission of the input probe beam through the sample plate to produce an optical shearing interference pattern. The optical shearing interference pattern is then processed to obtain a wavefront gradient map of the optical transmission.

[0008] These and other implementations are described in greater detail in the drawings, the detailed description, and the

claims.

**Brief Description of the Drawings**

[0009] FIG. 1 illustrates optical measurement of an optically reflective surface by an optical probe beam, where the reflected probe beam is directed to gratings in a coherent gradient sensing (CGS) device or a non-CGS optical shearing interferometer.

[0010] FIG. 2 illustrates optical measurement of an optically transmissive surface by an optical probe beam.

[0011] FIG. 3 shows a coherent gradient sensing optical shearing interferometer having two spaced optical gratings to produce optical shearing.

[0012] FIG. 4 shows one example of an optical measurement system having two CGS devices in optical transmission and reflection modes, respectively.

[0013] FIG. 5 shows an example of an optical measurement system having three CGS devices.

**Detailed Description**

[0014] Examples of optical shearing interferometry techniques described in this application may use either or both of optical reflection at a surface and transmission through a plate of one or more collimated optical probe beams with a planar wavefront to measure optical distortions in the optical wavefront caused by optical reflection or transmission. The wavefront of the reflected or transmitted optical probe beam is optically sheared or shifted by the optical shearing interferometer to measure the local slope of the wavefront at a selected location and the slope map of the entire wavefront. A coherent gradient sensing (CGS) system, as one exemplary implementation of the optical shearing interferometry system, uses two optical gratings to produce the shifted wavefronts by diffraction and an imaging device to capture the desired diffraction orders. The interference pattern captured in the imaging device is then processed to obtain the slope information of the wavefront.

[0015] As an example, FIG. 1 illustrates a surface under measurement that is optically reflective at a selected probe wavelength. Assume the target surface under measurement features a non-uniform topology whose surface profile can be represented by the following equation

$$x_3 = f(x_1, x_2), \quad (1)$$

with respect to an arbitrarily chosen reference plane  $(x_1, x_2)$ , where  $x_3$  is perpendicular to both  $x_1$  and  $x_2$  and forms a Cartesian coordinate system with  $x_1$  and  $x_2$ . When a collimated probe beam  
5 (initially planar wavefront) is reflected from this target surface, the reflected wavefront deviates from planarity due to the additional optical path traveled in the reflection process and can be characterized by the following equation:

10 
$$x_3 = S^R(x_1, x_2) = 2f(x_1, x_2). \quad (2)$$

This reflected wavefront, hence, "acquires" the spatial information on the target surface, including wavefront distortions by features present on the target surface. Optical  
15 shearing interferometry can be used to process the reflected wavefront to extract the surface profile information.

[0016] Next, consider a plate under measurement that is at least partially optically transmissive to a probe beam at a selected probe wavelength. The probe beam is collimated to have a planar  
20 wavefront prior to entry of the plate. FIG. 2 shows the plate is assumed to have a non-uniform thickness,  $h(x_1, x_2)$ , and a spatially varying refractive index,  $n(x_1, x_2)$ , both of which are measured with respect to a reference plane  $(x_1, x_2)$ . The wavefront



of the transmitted probe is distorted by the plate and can be characterized by the following equation:

$$S^T(x_1, x_2) = [n(x_1, x_2) - 1]h(x_1, x_2). \quad (3)$$

5

The above equation assumes that the plate is immersed in the air with a refractive index of 1. If the surround medium has a refractive index of  $n_{\text{medium}}$ , the "1" in the parentheses should be replaced by " $n_{\text{medium}}$ ." The optical distortions on the reflected  
10 wavefront include distortions of the optical path associated with refractive index induced retardations and distortions caused by the non-uniform thickness  $h(x_1, x_2)$  of the plate. The non-uniform thickness introduces varying amounts of material in the path of the probe beam and thus distorts the initially  
15 planar wavefront by different amounts at different transverse locations on the plate. These two types of distortions are independent to each other. For example, when the thickness of the plate is uniform, the probe wavefront may still be distorted due to the spatial variation in the refractive index and vice  
20 versa.

[0017] After the distorted wavefront of a reflected probe beam or transmitted probe beam is obtained, the distorted wavefront may be subsequently optically processed in an optical shearing

interferometer to obtain wavefront gradient information. Based on the wavefront gradient information, the surface topology and the surface slope map for the reflective surface under measurement may be extracted, and wedge and index variations

5 (thickness and index derivatives or slopes) of the plate under measurement may also be obtained. Optical probing with both optical reflection and transmission may be used to measure surface properties and wedge variations of a plate. The optical reflection and transmission may be obtained simultaneously and  
10 be processed with two separate optical shearing interferometers.

[0018] One example of optical shearing interferometers is a coherent gradient sensing (CGS) system. Exemplary CGS implementations are described in U.S. Patent No. 6,031,611, which is incorporated herein by reference in its entirety. The  
15 CGS interferometry may be used to measure the "surface slope" along one or more directions along the surface (e.g.,

$\partial f / \partial x_1, \partial f / \partial x_2$ , in two orthogonal directions  $x_1$  and  $x_2$ ), maps of the wedge slopes of  $\partial h / \partial x_1, \partial h / \partial x_2$ , net wavefront gradient maps of

$\partial S^T / \partial x_1, \partial S^T / \partial x_2$ , and full-field maps of opaque or transparent

20 surfaces used in the microelectronics and optoelectronics industries. In some applications, it may be desirable to measure surface slopes and to subsequently integrate the surface slopes into topology of microelectronic or optoelectronic wafer

surfaces that have undergone various processing steps (e.g., Chemical Metal Polishing) where a resulting non-uniform surface finish (dishing/erosion) may have an adverse impact on device performance or effectiveness of subsequent processing steps.

5    [0019] Information on surface slopes, surface topology, wedge variations in index of refraction and thickness variations may be used to evaluate the quality and acceptability of photolithograph mask assemblies and their components such as substrates, mask blanks, and patterned masks, as well as mask  
10 assembly "pellicle plates" mounted in front of mask reticles for protection. Surface slopes and topology (height) variations may cause unacceptable misregistratoin errors during microfeature imaging. As the dimensions of microelectronic circuitry become increasingly smaller, the tolerances in acceptable mask surface  
15 slopes become more stringent. Hence, measurements of surface slopes are desirable. For reticle/pellicle assemblies, wavefront distortions associated with variations (gradients) in pellicle plate thickness, plate bending, and plate distortions due to mounting forces and gravity may also adversely affect the  
20 imaging quality on the wafer. Net assembly distortions are also a concern. Optical probing by transmission, based on CGS or another shearing interferometer, may be used to measure such effects. CGS and other optical shearing interferometry

measurements by both reflection and by transmission may be used for assessing pellicle acceptability.

[0020] FIG. 3 shows one exemplary implementation of a CGS system with two spaced gratings 140 and 150 to process a distorted wavefront 132 that is generated by either optical reflection from a surface or optical transmission through a plate. The two gratings 140 and 150 in general may be any gratings, with different grating periods and oriented with respect to each other at any angle. In the illustrated example, the two gratings 140 and 150 are identical, i.e., they are oriented with respect to each other in the same direction and have the same grating periods to simplify the data processing. A Cartesian coordinate system ( $x_1$ ,  $x_2$ ,  $x_3$ ) is used in the following description where the  $x_2$  axis is parallel to the grating rulings of both the gratings 140 and 150.

[0021] The distorted wavefront 132 is processed through the gratings 140 and 150 situated at a distance ( $\Delta$ ) apart. A filtering lens 160 is used to produce a series of diffraction orders on a "filtering" plane where a camera 170 is focused on either the (+1) or the (-1) diffraction order. This system is described (for the case of reflection) in U.S. Patent No. 6,031,611.

[0022] In operation, the grating 140 ( $G_1$ ) diffracts the probe beam 132 into several diffraction waves denoted as  $E_0$ ,  $E_1$ ,  $E_{-1}$ ,

$E_2$ ,  $E_{-2}$ , etc. For illustrative purpose, only the first three diffraction orders, i.e., zero-order wave 144 ( $E_0$ ), +1-order 142 ( $E_1$ ), and -1-order wave 146 ( $E_{-1}$ ) are shown. Each of these wave fronts is further diffracted by the second grating 150 ( $G_2$ ) to generate multiple wavefronts. For example, the +1-order 142 ( $E_1$ ) is diffracted to produce wavefronts 142a ( $E_{1,1}$ ), 142b( $E_{1,0}$ ), 142c( $E_{1,-1}$ ), etc.; zero-order 144 ( $E_0$ ) is diffracted to produce wavefronts 144a( $E_{0,1}$ ), 144b( $E_{0,0}$ ), 144c( $E_{0,-1}$ ), etc.; and -1-order 146 ( $E_{-1}$ ) is diffracted to produce wavefronts 146a ( $E_{-1,1}$ ), 146b( $E_{-1,0}$ ), 146c( $E_{-1,-1}$ ), etc.

[0023] Certain diffracted beams generated by the grating 150 from different diffraction orders generated by the grating 140 are parallel since the two gratings 140 and 150 are identical. This could also occur when the ratio of the grating periods of the two gratings 140, 150 is an integer. Under such conditions, a filtering lens 160 is used to overlap various sets of parallel diffracted beams emerged from the grating 150 with one another at or near the filtering plane 170 to form multiple diffraction spots. These diffraction spots have interference fringes due to the interference of the overlapped beams. The interference fringes have information indicative of the gradient of the phase distortion in the wavefront of the probe beam 132.

[0024] For example, the zero-order diffraction beam 142b( $E_{1,0}$ ) originated from the beam 142 is parallel to the +1-order

diffraction beam 144a( $E_{0,1}$ ) originated from the beam 144. These two beams 142b and 144a are focused to a point 174 ( $D_{+1}$ ) on the filter place 170 by the lens 160. Similarly, the diffracted beams 142c and 144b overlap and interfere with each other to form a spot  $D_0$ , and beams 144c and 146b overlap and interfere with each other to form a spot  $D_{-1}$ , respectively.

[0025] The interference pattern of any of these spots has the information of the gradient of the phase distortion in the wavefront of the probe beam 132 and can be used to determine the slope and curvature of the specimen surface 130. The example in FIG. 3 shows the spot 174 ( $D_{+1}$ ) is selected by the aperture 172 in the filter plane.

[0026] As the wavefront goes through the CGS system an optical differentiation of the distorted wavefront is performed. The resulting interference pattern is governed by the following equations:

$$\frac{\partial S}{\partial x_\alpha} = \frac{kp}{\Delta}, \quad (4)$$

where  $S = S^R$  for reflection probing and  $S = S^T$  for transmission probing,  $k$  is an integer and where  $\alpha$  is either 1 or 2 depending on the direction of the gratings relative to the transverse  $x_1, x_2$  axes. In CGS optical probing based on optical reflection,

Equations (2) and (4) result in the following relation governing slope component measurement through CGS interferometry:

$$\frac{\partial f}{\partial x_\alpha} = \frac{kp}{2\Delta}. \quad (5)$$

5

Based on Equations (4) and (5), the spacing  $\Delta$  between the two gratings may be adjusted, either continuously or discontinuously, to vary the shearing distance in order to adjust the measurement resolution.

10 [0027] For optical probing by transmission, the wavefront slope may be derived from Equations (3) and (5):

$$\frac{\partial S^T}{\partial x_\alpha} = \left[ n(x_1, x_2) - 1 \right] \frac{\partial h}{\partial x_\alpha} + \frac{\partial n}{\partial x_\alpha} h(x_1, x_2) = \frac{kp}{\Delta}. \quad (6)$$

15 Equation (6) is the relation governing net wavefront distortion gradient measurement (in transmission) through CGS interferometry.

[0028] Hence, the CGS interferometry may be used to construct full-field maps of both surface slope components  $\partial f/\partial x_1$  and  $\partial f/\partial x_2$   
20 of a reflective surface through the use of Equations (2) and (4). Numerical integration of these independent slope component maps may be used to construct the surface topology or height

relative to a reference (to be achieved up to an arbitrary rigid body translation and rotation).

[0029] The CGS interferometry in transmission may be used for construction of full-field maps of transmitted optical surface

5 distortion gradients  $\partial S^T/\partial x_1$  and  $\partial S^T/\partial x_2$  through a plate that is transmissive to light at the probe light wavelength. For the case of a single plate element of uniform refractive index,  $n(x_1, x_2) = n = \text{const}$ , Equation (6) provides:

10 
$$\frac{\partial S^T}{\partial x_\alpha} = \frac{kp}{\Delta} = (n-1) \frac{\partial h}{\partial x_\alpha}. \quad (7)$$

As a result, the thickness or wedge variation may be expressed as

15 
$$\frac{\partial h}{\partial x_\alpha} = \frac{kp}{(n-1)\Delta}. \quad (8)$$

Therefore, CGS may be used as a method for measuring the "wedge slope" components  $\partial h/\partial x_1$  and  $\partial h/\partial x_2$  or the thickness gradient maps of transmissive plates. The shearing distance  $\Delta$  may be either  
20 continuously or discontinuously adjusted to change the measurement resolution in the transmission mode. Integration of



such slope components will result in the construction of optical surface distortions or to net wedge maps, respectively.

[0030] Multiple reflections of light may be present in a transmissive plate as a result of partial and multiple  
5 reflections and refractions of the light from the two opposing surfaces. Such multiple reflections may complicate optical detection of either or both of the optical reflection and transmission by using optical shearing inteferometry. The CGS may be advantageously used in this situation because operation  
10 of a CGS inteferometer is independent of a probe wavelength as indicated by the governing equations of CGS in Eqs. (4), (5), and (7). For example, a probe wavelength may be selected so that a plate under measurement is optically opaque or non-transmissive at the selected probe wavelength. This eliminates  
15 multiple reflections and refractions thus allowing for an accurate surface slope and topology measurements by CGS probing based on optical reflection. In addition, two different probe beams with different probe wavelengths may be used, one being transmissive and other being reflective, in measuring a plate by  
20 using two optical shearing inteferometers to respectively process the optical reflection and the optical transmission.

[0031] Some mounted reticle/pellicle assemblies or other optical element assemblies may be fully or partially transmissive to light. To measure these devices, the transmission CGS may be

used to obtain the wavefront slope and Equation (6) may be used for evaluating the NET optical distortion gradients of the entire assembly as a test of suitability.

[0032] FIG. 4 shows one exemplary CGS system 400 that includes a first CGS device 450A to measure optical transmission of a sample 401 and a second CGS device 450B to measure optical reflection of the sample 401. Similar to the CGS system shown in FIG. 3, each of the CGS devices 450A and 450B includes two gratings, i.e., 451A(G1) and 452A(G2) or 451B(G3) and 452B(G4), a spatial filtering imaging lens (453A or 453B), and an imaging sensor such as a CCD array (454A or 454B). A sample holder 440 is provided to support and hold the sample 401 under measurement. A precision chuck may be used as the sample holder 440. A processor 460 is provided to receive output signals from the CGS devices 450A and 450B and operates to process the signals to produce measurement results. The processor 460 may be programmed with the processing the above-described algorithms for both the reflection and the transmission CGS measurements.

[0033] An input optical collimator 410 is used to receive and collimate input probe light. The collimated input probe light is directed to the sample 401. A partially transmissive beam splitter 420 is located in the optical path of the input probe light between the input optical collimator 410 and the sample 401 to reflect a portion of the reflected probe light from the

sample 401 to the second CGS device 450B. A second optical collimator 430 may be located between the beam splitter 420 and the sample 401 to collimate light.

[0034] The system 400 may include one or more light sources may  
5 be used to generate probe light at a desired probe wavelength. For a given sample plate, the probe wavelength may be selected or tuned to be optically reflective at the sample plate to use the CGS device 450B to measure the reflective surface of the sample plate. Alternatively, the probe wavelength may be  
10 selected or tuned to be optically transmissive at the sample plate to use the CGS device 450A to measure the variations of the optical thickness of the plate. In addition, two different probe wavelengths may be used at the same time with one being reflected by the sample plate and the other being transmissive  
15 to the sample plate to measure both the front surface and the variations in the overall optical path of the sample plate. As illustrated, the system 400 in this example has two light sources 402 and 403 operating at different probe wavelengths. A wavelength-selective beam splitter 404, e.g., a dichotic beam  
20 splitter, may be used to combine and direct probe beams at different probe wavelengths to the input collimator 410.

[0035] The system 400 may be operated to provide near instantaneous, full-field data collection across the entire specimen surface. The CGS devices in both optical reflection

and transmission modes allow for full-field measurements of surface flatness, surface wedge, surface slope, and surface topology of reticles and pellicles using CGS interferometry. This system may also measure the impact of reticles, pellicles, and reticle/pellicle assemblies on optical wavefronts passing through them by evaluating wavefront flatness, wavefront slope, and wavefront topology. In addition, measurements may also be obtained for the tilt, flatness, wedge, and Total System Optical Distortion (TSOD) of the reticle, pellicle, and reticle / pellicle assembly.

[0036] The system 400 may be configured to include various beneficial features. For example, the sample holder 440 and the optical systems may be designed to have a large circular field of view to accommodate large square substrates and reticles, e.g., a 9-inch circular view for up to 6" square reticles. The combination of CGS interferometry in both transmission and reflection and use of at least two different probe wavelengths provide powerful and versatile probing capabilities for various measurements. Depending on the measurement requirements, the system may also incorporate multiple angles of incidence and multiple shearing distances.

[0037] In implementations of the system 400 in FIG. 4, the system may use two separate coherent light sources controlled by a mechanical shutter in front of each light source. The probe

wavefront may be directed to pass through an auto-zoom optical system where the beam is polarized, collimated, and expanded upon incidence on the sample. The sample holder 440 may be an electrostatic chuck with multi-degree adjustments capable of precisely positioning the specimen and, possibly, varying the angle of incidence.

[0038] In operation, the system 400 may utilize various mechanisms to optically distinguish between front and backside surfaces, including but not limited to varying or tuning the probe wavelength, varying or tuning the shearing distance (i.e., spacing of the gratings), and varying or tuning the angle of incidence of the probe wavefront. The system may be used to measure patterned and discontinuous wavefronts. When the probe wavelength is used to distinguish the front and backside surfaces, a tunable probe light source or multiple probe light sources at different probe wavelengths may be used. A special probe wavelength may be used to measure the front surface only by optical reflection when the probe light does not transmit through the front surface, e.g., when the material for the wafer or substrate is opaque at the selected wavelength. The probe wavelength may be changed to a second probe wavelength that transmits through the wafer or substrate to produce an optical transmission. In addition, the polarization of the probe beam may also be used to distinguish the front and backside surfaces

of a wafer or substrate. At an interface from between two different dielectric materials, the p-polarized light is not reflected and is entirely refracted when the incident angle is at or greater than the Brewster angle of the interface.

5 Hence, the incident polarization may be controlled to facilitate separation of measurements of the front and the backside surfaces. As an example, a probe beam in the p-polarization and a second probe beam in the s-polarization may be simultaneously directed to the sample as two separate probe beams.

10 [0039] In the system 400 in FIG. 4, a single reflection from the sample plate 401 may be obtained and processed by the CGS device 450B under proper conditions. This single reflection measurement can be used to measure the reflecting surface in the front of the plate 401. Alternatively, the opposite surface of  
15 the sample 401 may also be measured by optical reflection and the CGS device 450B when the sample 401 may be flipped on the chuck 440.

[0040] FIG. 5 illustrates an exemplary system having 3 CGS devices to respectively measure two opposing surfaces of a  
20 sample plate by two CGS devices in optical reflection modes and a third CGS device in an optical transmission mode. The probe beam for the optical transmission mode may have a wavelength different from the probe beams used in the optical reflection modes. Each probe beam for optical reflection may have a

wavelength at which the light does not transmits into the plate  
so multiple reflections and refractions may be eliminated.

Hence, this system may be used to simultaneously obtain two  
surface measurements by two separate reflections and the

5 transmission measurement for variations in the plate thickness  
slopes or the slopes of the refractive index.

[0041] The CGS measures wavefront slope directly and thus offers  
significant benefits over conventional topological or net  
wavefront shape interferometric approaches. For example, the  
10 CGS can eliminate the need for numerical differentiation of the  
wavefront measurement, thereby improving measurement quality and  
integrity by directly monitoring unwanted variations (gradients)  
of optical distortion. As another example, CGS can measure  
discontinuous wavefronts, e.g., those that have already passed  
15 through a reticle, or a pellicle, or a combination of a reticle  
and a pellicle. In addition, the spacing between two spaced  
gratings in a CGS interferometer may be adjusted, either  
continuously or discontinuously, to provide a variable  
sensitivity in CGS measurements.

20 [0042] The above CGS interferometry devices are specific  
examples of full-field optical shearing interferometers. Other  
shearing interferometers may also be implemented for the CGS  
devices 450A and 450B in the system 400 in FIG. 4. In general,  
a shearing interferometer optically processes a distorted

wavefront to cause wavefront interference. This interference is caused by optically shearing or shifting the wavefront and is used to measure the local slope of a wavefront and surface topology deviations. Such a shearing interferometer directs the distorted wavefront through a device or component of the system designed to optically shear or shift the wavefront enabling the measurement of wavefront slope. In addition to CGS, other examples of shearing interferometers and shearing devices or components include a radial shear interferometers, wedge plate in a Bi-Lateral Shearing Interferometer (US Patent 5,710, 631), and others.

[0043] The use of optical shearing interferometry present certain advantages in optically measuring surfaces including surfaces patterned with various microstructures such as patterned wafers and patterned mask substrates used (in-delete) to support, e.g., integrated circuits, integrated optical devices, integrated opto-electronic devices, and MEMs devices. In addition, an optical shearing interferometer may be used in the in-situ monitoring of the surface properties such as curvatures and related stresses during fabrication of devices at the wafer level and the measurements may be used to control in real time, the fabrication conditions or parameters. As an example, measurement and operation of an optical shearing interferometer generally is not significantly affected by rigid



body translations and rotations due to the self-referencing nature of the optical shearing interferometry. Hence, a wafer or device under measurement may be measured by directing a probe beam substantially normal to the surface or at low incident angles without affecting the measurements. By shifting or shearing the wavefront, the optical shearing interferometer measures the deformation of one point of the wavefront to another separated by the shearing distance, i.e., the distance between the two interfering replicas of the same wavefront. In this sense, the optical shearing interferometer is self referencing and thus increases its insensitivity or immunity to vibrations of the wafer or device under measurement. This resistance to vibrations may be particularly advantageous when the measurement is performed in a production environment or in situ, during a particular process (e.g. deposition within a chamber), where vibration isolation is a substantial challenge.

[0044] A surface with device patterning poses several challenges for conventional (non-shearing) interferometers. A conventional interferometer generates wavefront interference of topology or topography based on interference between a wavefront reflected from a sample and a wavefront reflected from a known reference. Conventional interferometers used to measure surfaces with device patterning are frequently ineffective as the relatively non-uniform or diffuse wavefront reflected off the patterned

surface does not interfere coherently with the wavefront reflected off the reference mirror, preventing the unwrapping and interpretation of the interferometric image.

[0045] In applying shearing interferometry for measuring  
5 patterned wafers, the patterned wafers, e.g., semiconductor and optoelectronic wafers with diameters of 200 mm, 300 mm, etc., may be placed in a shearing interferometer in a configuration that allows a collimated probe beam to be reflected off the wafer surface. Using a shearing interferometer on a patterned  
10 wafer results in coherent interference because the two interfering wavefronts are substantially similar in shape after being sheared by a small distance. Although each wavefront reflected off a patterned surface may be inherently noisy and diffuse, there is sufficient coherence between the wavefronts  
15 for meaningful fringe patterns to form and be interpreted when recombined in this fashion.

[0046] The method for using shearing interferometers to measure patterned wafers may be further improved with the use of phase shifting. Phase shifting may be implemented to progressively  
20 adjust the phase separation between interfering wavefronts which cycles or manipulates fringe position on the specimen's surface. In one implementation, a shearing interferometer may be configured to obtain multiple phased images of a patterned wafer's surface, for example at 0, 90, 180, 270 and 360 degrees

in phase. The phase shifting method allows for wavefront slope to be measured by calculating the "relative phase" modulation at each pixel on a detector array. The method also allows for consistent interpretation of wavefront and specimen slope on a surface that exhibits changing reflectivity, like those found on patterned wafers. On a patterned wafer surface each pixel location on the specimen will reflect light with varying degrees of intensity, complicating the interpretation of any single sheared interferogram. Employing phase shifting simultaneously increases the accuracy of the slope resolution and allows accurate interpretation of interferograms on Patterned Surfaces with varying reflectivity by measuring the relative phase of each pixel rather than fringe separation or variation in the fringe intensity.

[0047] Having collected multiple phase shifted interferograms of the patterned wafer surface, an unwrapping algorithm may be subsequently used for the accurate interpretation of surface slopes. Suitable unwrapping algorithms include, but are not limited to, Minimum Discontinuity (MDF) and Preconditioned Conjugate Gradient (PCG).

[0048] Once the interferograms have been unwrapped the interpretation of raw slope data and the derivation of curvature is further enhanced by statistically fitting a surface polynomial to the raw slope data. Statistical surface fits,

including Zernicke polynomials, may be applied to raw slope data derived from Patterned Wafers for the purpose of deriving topology and curvature data.

[0049] In the CGS system shown in FIG. 3, the phase shifting may  
5 be achieved by adjusting the relative position of the two gratings 140 and 150 in the plane defined by  $x_1$  and  $x_2$  that is perpendicular to the  $x_3$  direction while the separation between the gratings along the  $x_3$  direction is fixed. A positioning mechanism, such as precise translation stage or a positioning  
10 transducer may be used to implement this adjustment of the relative position between the gratings for phase shifting.

[0050] Another feature of the shearing interferometry is that the wavefront is optically differentiated once and the optical differentiation is recorded in the shearing interference  
15 pattern. Hence, only a single derivative operation on the data from the shearing interference pattern is sufficient to calculate curvatures from slopes of the wavefront. Also, because the shearing interferometry method provides full-field interferometric data it can utilize many more data points  
20 compared to other methods such as the method of using a conventional capacitive probe to measure a few points of surface topology. This higher data density provides more accurate measurements and better resistance to noise than other methods which feature much less density of measured data. In addition,

although various laser beam scanning tools may be used to  
measure wafer bow or surface curvature, these methods typically  
measure radial curvature only. Shearing interferometry may  
easily measure slopes in two orthogonal directions allowing  
5 elucidation of the full curvature tensor and stress state of the  
wafer or fabricated structures on the wafer.

[0051] Only a few implementations are described. Other  
variations and enhancements may be possible.